



Attorney Docket No.: CORR-003/01US

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Ben A. HITT et al.

Serial No.: 10/628,135

Examiner: *Unassigned*

Confirmation No.: 4522

Art Unit: 1631

Filed: July 28, 2003

For: **QUALITY ASSURANCE FOR HIGH-THROUGHPUT BIOASSAY  
METHODS**

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U.S. Patent and Trademark Office  
2011 South Clark Place  
Customer Window, **Mail Stop Missing Parts**  
Crystal Plaza Two, Lobby, Room 1B03  
Arlington, VA 22202

### INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(b)

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56,  
Applicant(s) hereby submits the following information in conformance with 37 C.F.R.  
§§1.97 and 1.98.

- ☐ Pursuant to 37 C.F.R. §1.98, a copy of each document cited in the attached Form PTO/SB/08 is enclosed.
- ☐ No copies of the publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. \_\_\_ to which the above-identified application claims priority under 35 U.S.C. §120.
- ☒ No copies of any U.S. patents or U.S. patent application publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98 because this application was filed after June 30, 2003.
- ☐ Publication(s) \_\_\_ listed on the attached Form PTO/SB/08A were cited in a foreign search or examination report corresponding to \_\_\_ application serial no. \_\_\_ and mailed on \_\_\_.

- ☐ Enclosed is a copy of a non-English publication(s) \_\_\_\_\_. Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).
- ☐ Enclosed is a copy of a non-English publication(s) \_\_\_\_\_. English language publication \_\_\_\_ (copy enclosed) claims priority from this non-English publication.
- ☐ Enclosed is an explanation of non-English publication(s) \_\_\_\_ for which an English translation is not available.
- ☐ Enclosed is an English translation of non-English publication(s) \_\_\_\_ cited in the attached Form PTO/SB/08A.
- ☒ Enclosed are copies of the following copending U.S. Patent Applications:
- U.S. Patent Application Serial No. **09/883,196**, filed June 19, 2001, entitled "Heuristic Method of Classification" (Attorney Docket No. CORR-001/01US);
- U.S. Patent Application Serial No. **09/906,661**, filed July 18, 2001, entitled "A Process for Discriminating Between Biological States Based on Hidden Patterns from Biological Data" (Attorney Docket No. CORR-002/04US);
- U.S. Patent Application Serial No. **10/628,137**, filed July 28, 2003, entitled "Quality Assurance/Quality Control for High Throughput Bioassay Process" (Attorney Docket No. CORR-004/01US); and
- U.S. Patent Application Serial No. **10/628,136**, filed July 28, 2003, entitled "Quality Assurance/Quality Control for Electrospray Ionization Processes" (Attorney Docket No. CORR-004/02US).

This Information Disclosure Statement is filed within any one of the following time periods:


- ☐ within three months from the filing date of this national application other than a CPA under 37 C.F.R. § 1.53(d);
- ☐ within three months from the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in this international application;
- ☒ before the mailing date of a first office action on the merits; or
- ☐ before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.

Dated: 11/13/03

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Respectfully submitted,  
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NOV 13 2003  
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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<i>Complete if Known</i>	
				Application Number	10/628,135
				Filing Date	July 28, 2003
				First Named Inventor	Ben HITT
				Group Art Unit	1631
				Examiner Name	
Sheet	1	of	3	Attorney Docket Number	CORR-003/01US

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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
✓	11		WO 00/55628	A1	Oxford Glycosciences	09-21-2000	
✓	12		WO 99/58972	A1	Tsipouras, Petros	11-18-1999	
✓	13		WO 00/49410	A3	The Government Of the United States of America as represented by the Secretary of the Department of Health and Human Services	08-24-2000	
✓	14		WO 99/47925	A2	Oxford Glycosciences	09-23-1999	
✓	15		WO 99/41612		Oxford Glycosciences	08-19-1999	
✓	16		WO 93/05478	A1	Becton, Dickinson & Company	03-18-1993	

Examiner Signature		Date Considered	
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